

# Application for an in-house examination



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## Execution of an in-house exchange trader examination in justified exceptional cases

The performance of an exchange trader examination under the supervision of a compliance officer at the premises of the exchange participant is only permitted in exceptional cases. To ensure proper supervision, physical presence of examinee(s) and supervisor in the same room is required.

We apply for an exceptional permission for the exchange trader examination of

Eurex Deutschland

Frankfurter Wertpapierbörse

Reason for exception	
Applicant	Company
Examination location	Address

Place, date

Signature

Name in capitals

To be filled in by the approving body:

Procedure possible

Procedure not possible

Place, date

Signature

## Registration for the exam

Registration for the Exchange Trader Examination is only possible if the application for admission as an Exchange Trader has been approved for the examination candidate in the Electronic Exchange Admission Service (eXas). The examination can take place at the earliest 5 days after the approval.

After approval, the examination date will be arranged with the Capital Markets Academy. The contact details of the supervisor and the examinee (first and last name, e-mail and telephone number) must be provided to the Capital Markets Academy using the corresponding form.

The completed and signed registration form must be sent to the Capital Markets Academy by e-mail at least 3 days before the desired examination date.